







| Appendix A Risks and Action Plan Risk Identified | Risk Owner | Action Required to Address Risk | Target Date | Risk Category | Current position / progress | Status | Status | Current Rating |
|--|-----------------|--|-----------------------|---------------------------------|--|-----------------------------|-----------------------------|-----------------------------|
| | | in order to reduce the risk | for action completion | Strategic, Operational, Project | as at 30/08/2018 | as at Dec 17 | as at Mar 18 | as at June 18 |
|  1 Potential Claims growth | Chief Executive | The Council has robust systems in place both to deal with claims when they happen and also to prevent, where possible, the circumstances where claims could arise. In doing so, the Council has in place policies and procedures designed to enhance safety at work and also to advise staff and others when driving or operating machinery. The Council checks, on a regular basis, that it is up to date on best practice in this area and that systems reflect changes in the local, national or international environments | | Strategic | Risks reviewed and noted that this area is of growing significance with the number and value of claims increasing. Further actions reviewed. Consideration was given to potential control measures, but these are addressed by the existing further actions. | I = H L = H High 9 | I = H L = H High 9 | I = H L = H High 9 |

Notable High and Medium Risks - Appendix A

| Appendix A | | | | | | | | |
|---|------------------------------|---|-----------------------|---------------------------------|---|-----------------------------|-----------------------------|-----------------------------|
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|  2 Financial consequences of adverse planning decisions | Regeneration and Development | Production of Emerging Joint Local Plan – completion of Preferred Options stage | Oct-18 | Strategic | The failure of the council to determine planning applications in accordance with material planning considerations may lead to the Council incurring costs in both defending appeals and Inspectors awarding costs against it on the grounds of unreasonable behaviour. Report approved for consultation by Cabinet on 4 January 2018; next stage is Draft Joint Local Plan for consideration by Cabinet in autumn 2018. | I = H L = H High 9 | I = H L = H High 9 | I = H L = H High 9 |
|  3 Fire risk occurrence | Corporate Health and Safety | | | Operational | All previous actions completed. Risk will remain high due to 2 occurrences in the past 12 months. | I = H L = H High 9 | I = H L = H High 9 | I = H L = H High 9 |

**Notable High and Medium Risks -
Appendix A**

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|  4 Failure to comply with relevant health and safety legislation | Regeneration and Development and Chief Executive | Corporate mandate for scheduled diary dates to update Target100 (Health and Safety system) | Ongoing | Strategic | There have been RIDDOR (Reporting of Injuries, Diseases and Dangerous Occurrence Regulations 1995 as amended) reportable incidents over the past six months. A focussed effort upon reviewing risk assessments is expected to improve the Council resilience. | I = 3 L = 1 Medium 3 | I = 3 L = 1 Medium 3 | I = H L = H High 9 |
|  5  Failure to achieve income targets | Recycling and Waste | Continue to monitor the current global downturn in recycled material values | Ongoing | Operational | The potential market changes mean that the income derived from this is reducing significantly and is primarily outside of the Council's control, however markets are constantly reviewed | I = H L = M Medium 6 | I = M L = H Medium 8 | I = H L = H High 9 |

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| 6 | Environmental Protection | Deliver Air Quality Action Plan to DEFRA. Failure to manage air quality in accordance with statutory requirements and not addressing risks to residents health in affected areas. The minister has reserve power functions and judicial review of the council function /decision making may be called. Development and delivery of measures requires buy in from key stakeholders. | Dec-18 | Operational | First consultation went to Public Protection meeting in June 2018. Further consultation taking place August to October 2018 and new report to Public protection during November 2018. The rating has since reduced and may not appear on the next report. | I = M L = H Medium 8 | I = M L = H Medium 8 | I = H L = H High 9 |

